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1. Focus of the Global Agriculture and Food Security Program

1. The focus of the Global Agriculture and Food Security Program (GAFSP) is on the longer-term agenda to improve the income and food security of poor people in developing countries through more and better country-led public and private sector investment in raising agricultural productivity, linking farmers to markets, reducing risk and vulnerability, and im

or sensitive text or data that the Government does not want disclosed publicly, this should be highlighted in the submission.

Table 1: List of documents required to be included in a GAFSP submission package

For African countries	For Non-African countries	Details
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(1)

Annex 1

Sections and Content Guide for the GAFSP Request

Part 1 Summary of Overall Agriculture and Food Security Strategy and Associated Investment Plan [about 5-7 pages]	How this will be assessed by the GAFSP Steering Committee: Overall assessment of the extent of alignment of th
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	and qualifications.		criteria upon which this will be assessed by the Technical Advisory Committee].
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Part 2 Specific proposal for GAFSP financing [about 10-15 pages]

Section	Content	How this will be assessed by the GAFSP Steering Committee
2.1 Specific objectives, expected results, and target beneficiaries (beneficiary numbers disaggregated by gender and/or other targeted vulnerable groups).	Clarity on the specific objectives and expected results of the proposal and how it links with the overall sector strategy and investment plan. Clarity on how the objectives will integrate gender equality, governance, and environmental sustainability (including climate change adaptation and mitigation if applicable). Provide evidence of past efforts to reach women and vulnerable groups. Specify the M&E framework to be used to assess progress on these objectives. Be explicit on the number and type of targeted beneficiaries, including their disaggregation by gender.	Assessed against the objectives of the GAFSP Framework Document and its results framework, including the emphasis on women, and smallholder farmers (http://www.gafspfund.org/gafsp/content/monitoring-and-evaluation). Proposals with a stronger results/M&E framework and an integration of gender equality and environmental sustainability will be given priority.
2.2 Activities to be financed	Activities to be financed. Clarity on the causal link between the proposed activities and objectives, including explaining the expected pathways that will lead to a reduction of poverty and hunger and improved nutrition. Explicit linkages with the overall sector strategy and investment plan, and the scope of the GAFSP framework document (and for Africa, the links with the four technical pillars of CAADP), and their environmental sustainability. Reasons why these activities were selected. Provide evidence of performance and impact of the models being proposed. Clear rationale for public versus private financing, providing specific reasons to justify public financing and the mechanism to ensure long-run sustainability.	Assessed against the causal link between the proposed activities and objectives, including the expected pathways that will lead to a reduction of poverty and hunger, and improved nutrition. Specifically whether the proposal provides a clear causal pathway from the proposed activities to improved food security and nutrition of low-income population groups. Whether the proposed activities are identified as high-priority activities in the country's agricultural development strategy and investment plan, and the likelihood of success based on evidence of performance and impact of the models being proposed For Africa, assessed against alignment with the four technical pillars of CAADP, which overlap with the scope of the GAFSP framework document, and in particular to the country investment plan. For non-African countries, assessed more explicitly against alignment to the GAFSP Framework Document (raising agricultural productivity, linking farmers to markets, reducing risk and vulnerability, improving non-farm rural livelihoods, and technical assistance, institution building, and capacity development). In addition, assessed against linkage with the overall country strategy and investment plan for the sector, likely environmental and financial sustainability, integration of gender equality, and strength of co-ordination arrangements among entities responsible for delivery.

2.3. Implementation
arrangements

Clearly state the institutional

2.8 Consultation with local stakeholders and development partners	The process and the extent of consultation with local development partners, and domestic stakeholders, especially smallholders and women farmers, farmer organizations, rural health workers and/or other vulnerable groups (e.g. pastoralists, pregnant and lactating women). Document the extent to which the consultation added value to the project design.	Assessed against the quality of participation and consultation with local stakeholders (e.g. farmer organizations, civil society, private sector, other grassroots groups, rural health workers, and parliament). What was the nature of the outreach effort to stakeholders (particularly small producer organizations), what was the process of obtaining input from them? Whether the proposal presents clear and verifiable evidence of participation by key stakeholder groups including farmer groups, the private sector and other civil society organizations, in the preparation of the proposal and a mechanism to facilitate such participation in the execution of the proposed activities. [See Annex 3 for list of verifiable criteria upon which this will be assessed by the Technical Advisory Committee].
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Annex 2

Annex 3

Quality of Participation Guidelines

These guidelines will be used by the Technical Advisory Committee to assess the extent to which the country investment plans (section 1.6 and 1.7 of Annex 1) and GAFSP proposals (section 2.3 and 2.8 of Annex 1) were developed in a participatory manner. These guidelines are intended to be used by governments and supervising entities to finalize and implement GAFSP supported projects and ensure regular, sustained, inclusive, and meaningful participation of relevant actors.

Key Elements and Indicators	Means of Verification
1. Participation is inclusive/representative	Description in proposal and/or documents such as:
□ Key actors are identified and representatives of each sector are allowed to self-select who will represent them in the participatory processes. □ All interests/sectors connected with food security are invited to participate (e.g. women, smallholder producers, CSOs, private sector, public sector institutions, technical experts, donors, and others). □ Particular attention has been paid to ensure the voice and participation of small scale producers. □ Participation opportunities also include stakeholders from outside the capital.	□ Description of selection criteria and details about how actors were selected to be included in the country proposal. □ Lists of participants in key meetings. □ Invitations and meeting announcements.
2. Participation is well planned and more than a one-off activity	Description in proposal and/or documents such as:
□ There is an agreed process for scheduling and organizing participation. The decision making process, roles and responsibilities of actors are clearly defined ahead of opportunities for participation; and announcements of opportunities for participation are communicated widely in advance to ensure broad participation. □ Self-selected representatives of key stakeholders (including civil society groups, farmer organizations and private sector) participate in the institutional/inter-ministerial co-ordination arrangements with clearly identified roles in implementation. Representatives of key producers' organization and CSOs should be invited to be members of these committees from the outset and should designate their own representatives. □ Consultations and opportunities for participation are provided regularly throughout development of the agriculture and food security strategy, the investment plan and the GASFP proposal. □ There are specific plans and platforms to ensure participatory processes during implementation.	□ Documents outlining agreed process endorsed by key actors, defining roles and stating who is responsible. □ CAADP Post-Compact Review for African Countries, independent technical review report for non-African countries. □ Evidence that the government is addressing the recommendations concerning stakeholder involvement from the CAADP Post-Compact review (for African countries) and from the independent technical reviews (for non-African countries [see Annex 2]) □ Invitations and meeting announcement.
3. Participation is meaningful and transparent	Description in proposal and/or documents such as:
□ Participation opportunities employ methodologies to ensure equal voice of men and women, and of smallholder farmers. □ Dissenting voices are accepted and recorded. □ Minutes of meetings are recorded, provided to the participants and disseminated broadly. □ Support is provided to enable broad participation of key stakeholders in consultation, implementation and for capacity building.	□ TOR, methodology, and agenda endorsed by stakeholders. □ (CAADP Post-Compact Review for African Countries). □ Meeting reports and distribution lists. □ Description and/or documentation outlining in-kind, financial or donor resources available to support consultation implementation and for capacity building.

4. Participation impacts project design and implementation	Description in proposal and/or documents such as:
There is evidence of meaningful participation by key actors in the planning and implementation of the agriculture and food security strategy, the investment plan and the GASFP proposal.	
There is evidence that the agriculture and food security strategy	uee08383(083(e)-0.9)]TJ

Annex 4
Document Checklist

Document Checklist for <u>African Countries</u>	File Name (files should be in Word, Excel, or PDF only)
(1) Document Checklist	
(2) Cover letter with endorsement signature from: a. Minister of Finance b. At least one technical ministry	
(3) Endorsement letter from the in-country Sector Working Group	
(4) Letter of readiness from preferred Supervising Entity	
(5) GAFSP proposal (part 1 and 2)	
(6) Agriculture and Food Security Strategy	
(7) CAADP Post-Compact Agricultural Sector Investment Plan	
(8) CAADP Post-Compact Technical Review Report of the Investment Plan	
(9) Signed CAADP Compact	
(10) Communique or resolution from the CAADP Business Meeting	
Notes:	

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Document Checklist For <u>Non-African countries</u>	File Name (files should be in Word, Excel, or PDF only)
(1) Document Checklist	
(2) Cover letter with endorsement signature from: a. Minister of Finance b. At least one technical ministry	
(3) Endorsement letter from the in-country Sector Working Group (or equivalent)	
(4) Letter of readiness from preferred Supervising Entity	
(5) GAFSP proposal (part 1 and 2)	
(6) Agriculture and Food Security Strategy	
(7) Agriculture and Food Security Investment Plan	
(8) An independent and thorough peer review report of the investment plan	
Notes:	

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